

# TTIC 31230, Fundamentals of Deep Learning

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## CNNs: Einstein Notation

## Einstein Notation

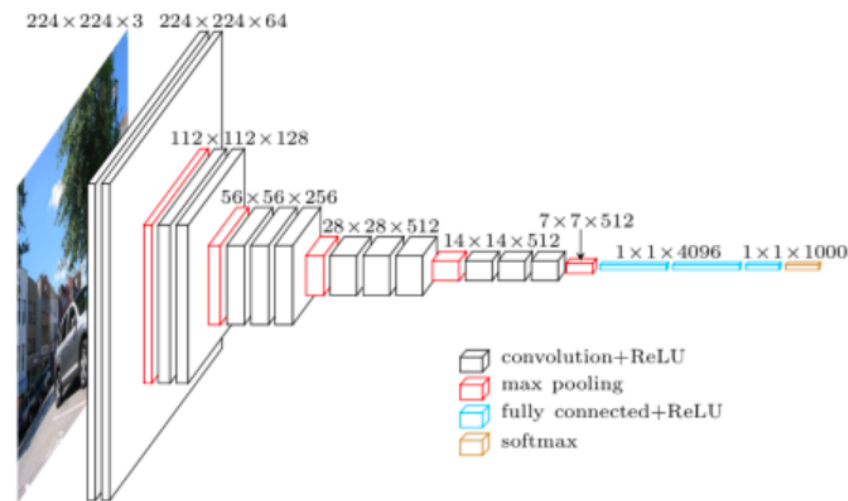
For the representation of general relativity, Einstein introduced the convention of explicitly writing all indices of tensors where repeated indices in a product of tensors are implicitly summed.

Writing indices explicitly improves the clarity of the notation at the expense of not being in correspondence with framework notation. Most frameworks hide indices.

This course will focus on conceptual understanding rather than framework implementations. For conceptual understanding Einstein notation seems preferable.

## Advantages of Einstein Notation

The indices of tensors generally have types such as “batch index”, “x coordinate”, “y coordinate” and “neuron index”.



A layer in a CNN has “shape”  $L[b, x, y, n]$  where  $b$  is a batch index,  $n$  is a neuron index, and  $x$  and  $y$  specify a spacial location.

## Advantages of Einstein Notation

A layer in a CNN has shape  $L[b, x, y, n]$ .

Writing the indices explicitly typically makes the meaning of indices clear and avoids having to remember the somewhat arbitrary order of the indices (the order matters for efficiency of memory access — discussed later).

## Einstein Notation

We will use a modified form of Einstein notation where capital letters are used to denote slices of a tensor. For example:

- $L[b, x, y, n]$  denotes a single (scalar) number.
- $L[b, x, y, N]$  denotes a vector of neuron values.
- $L[b, X, Y, N]$  denotes the entire layer of the  $b$ th batch element.

## Einstein Notation

Frameworks (and NumPy) use C-order (row-major) in laying out a tensor in memory.

The vector  $L[b, x, y, N]$  denotes a continuous block of memory.

The  $b$ th batch layer  $L[b, X, Y, N]$  also denotes a contiguous block of memory.

## Einstein Notation

I will use repeated capital letters in a product of tensors to denote summation over those letters.

$$\begin{aligned} y = Wx &\equiv y[i] = \sum_j W[i, j]x[j] \\ &\equiv y[i] = W[i, J]x[J] \end{aligned}$$

$$\begin{aligned} y = x^\top W &\equiv y[j] = \sum_i W[i, j]x[i] \\ &\equiv y[j] = W[I, j]x[I] \end{aligned}$$

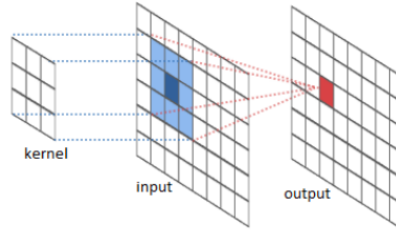
## Einstein Notation for Convolution

CNNs provide a good example of the advantage of Einstein Notation.

$L[b, x, y, i]$  is the value of “neuron”  $i$  for batch element  $b$  at image position  $\langle x, y \rangle$ .



# Convolution



$$W[\Delta x, \Delta y, i, j]$$

$$L_\ell[b, x, y, i]$$

$$L_{\ell+1}[b, x, y, j]$$

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$$L_{\ell+1}[b, x, y, j] = \sigma \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] L_\ell[b, x + \Delta x, y + \Delta y, i] - B[j] \right)$$

$$= \sigma (W[\Delta X, \Delta Y, I, j] L_\ell[b, x + \Delta X, y + \Delta Y, I] - B[j])$$

## Types and Einstein Notation

The indices of tensors generally have types such as a “time index”, “x coordinate”, “y coordinate”, “batch index”, or “neuron index”.

Writing a matrix as  $W[T, I]$  where  $T$  is a time index and  $I$  is a feature index makes the type of the matrix  $W$  clear and clarifies the order of the indices (disambiguates  $W$  from  $W^\top$ ).

Writing a layer of a CNN as  $L[B, X, Y, I]$  clarifies both the types and the positions of the four indices.

**END**