

## TTIC 31230 Fundamentals of Deep Learning, winter 2019

### CNN Problems

In these problems, as in the lecture notes, capital letter indices are used to indicate subtensors (slices) so that, for example,  $M[I, J]$  denotes a matrix while  $M[i, j]$  denotes one element of the matrix,  $M[i, J]$  denotes the  $i$ th row, and  $M[I, j]$  denotes the  $j$ th column.

We also adopt the convention, similar to true Einstein notation, that repeated capital indices in a product of tensors are implicitly summed. We can then write the inner product  $e[w, I]^\top h[t, I]$  as  $e[w, I]h[t, I]$ . Using this implicit summation notation we can avoid ever using transpose.

**Problem 1.** Consider convolving a kernel  $K[n_{\text{out}}, \Delta x, \Delta y, n_{\text{in}}]$  with thresholds  $B[n_{\text{out}}]$  on a layer  $L[b, x, y, n_{\text{in}}]$  where  $B, X, Y, N_{\text{out}}, N_{\text{in}}, \Delta X, \Delta Y$  are the number of possible values for  $b, x, y, n_{\text{out}}, n_{\text{in}}, \Delta x$  and  $\Delta y$  respectively. How many floating point multiplies are required in computing the convolution on the batch (without any activation function)?

**Problem 2:** Suppose that we want a video CNN producing layers of the form  $L[b, x, y, t, n]$  which are the same as the layers of an image CNN but with an additional time index. Write the equation for computing  $L_{\ell+1}[b, x, y, t, j]$  from the tensor  $L_\ell[B, X, Y, T, I]$ . Your filter should include an index  $\Delta t$  and handle a stride  $s$  applied to both space and time. Use the repeated index notation for summation.

**Problem 3:** Images have translation invariance — a person detector must look for people at various places in the image. Translation invariance is the motivation for convolution — all places in the image are treated the same.

Images also have some degree of scale invariance — a person detector must look for people of different sizes (near the camera or far from the camera). We would like to design a deep architecture that treats all scales (sizes) the same just as CNNs treat all places the same.

Consider a batch of input images  $L_{0,d}[b, x, y, n]$  where  $d = 2^k$  is the spacial dimension of  $x$  and  $y$  and  $n$  ranges over the three color values red, green, blue. To capture scale invariance will compute a set of layers  $L_{\ell,d}$  with  $0 \leq \ell \leq \ell_{\text{max}}$  and  $d$  a power of 2 with  $4 \leq d \leq d_{\text{max}}$  where  $d_{\text{max}}$  is the spacial dimension of the input images. We set  $d_{\text{min}} = 4$  so as to allow  $3 \times 3$  convolution kernels to be applied to the lowest spacial resolution. The output layer, say for image classification, is  $L_{\ell_{\text{max}}, d_{\text{min}}}[b, x, y, n]$ .

We first define  $L_{0,d}[b, x, y, n]$  to be a layer in an “image pyramid” constructed by successively down-sampling the images by a factor 2.

$$L_{0,d/2}[b, x, y, n] = \frac{1}{4} \begin{pmatrix} L_{0,d}[b, 2x, 2y, n] + L_{0,d}[b, 2x+1, 2y, n] \\ + L_{0,d}[b, 2x, 2y+1, n] + L_{0,d}[b, 2x+1, 2y+1, n] \end{pmatrix}$$

We next define  $L_{\ell, d_{\max}}[b, x, y, n]$  by  $3 \times 3$  convolutions that do not change the image dimension.

$$L_{\ell+1, d_{\max}}[b, x, y, n] = \sigma(K_{\ell+1}[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}]L_{\ell, d_{\max}}[b, x+\Delta X, y+\Delta Y, N_{\text{in}}] - B_{\ell+1}[n_{\text{out}}])$$

For  $d < d_{\max}$  give an equation for computing  $L_{\ell+1, d}[b, x, y, n_{\text{out}}]$  as the result of a linear threshold neuron taking inputs from both  $L_{\ell, d}[b, x, y, n]$  **and**  $L_{\ell, 2d}[b, x, y, n]$  using the same kernel  $K_{\ell+1}[n_{\text{out}}, \Delta x, \Delta Y, n_{\text{in}}]$  for both inputs.