### TTIC 31230 Fundamentals of Deep Learning, winter 2019

# **CNN Problems**

In these problems, as in the lecture notes, capital letter indeces are used to indicate subtensors (slices) so that, for example, M[I, J] denotes a matrix while M[i, j] denotes one element of the matrix, M[i, J] denotes the *i*th row, and M[I, j] denotes the *j*th collumn.

We also adopt the convention, similar to true Einstein notation, that repeated capital indeces in a product of tensors are implicitly summed. We can then write the inner product  $e[w,I]^{\top}h[t,I]$  as e[w,I]h[t,I]. Using this implicit summation notation we can avoid ever using transpose.

**Problem 1.** Consider convolving a kernel  $K[n_{\text{out}}, \Delta x, \Delta y, n_{\text{in}}]$  with thresholds  $B[n_{\text{out}}]$  on a layer  $L[b, x, y, n_{\text{in}}]$  where  $B, X, Y, N_{\text{out}}, N_{\text{in}}, \Delta X, \Delta Y$  are the number of possible values for  $b, x, y, n_{\text{out}}, n_{\text{in}}, \Delta x$  and  $\Delta y$  respectively. How many floating point multiplies are required in computing the convolution on the batch (without any activation function)?

### **Solution**:

$$BXY \Delta X \Delta Y N_{\text{out}} N_{\text{in}}$$

**Problem 2:** Suppose that we want a video CNN producing layers of the form L[b, x, y, t, n] which are the same as the layers of an image CNN but with an additional time index. Write the equation for computing  $L_{\ell+1}[b, x, y, t, j]$  from the tensor  $L_{\ell}[B, X, Y, T, I]$ . Your filter should include an index  $\Delta t$  and handle a stride s applied to both space and time. Use the repeated index notation for summation.

#### **Solution:**

$$L_{\ell+1}[b,x,y,t,n_{\mathrm{out}}] = \sigma(K_{\ell+1}[n_{\mathrm{out}}\Delta X,\Delta Y,\Delta T,N_{\mathrm{in}}]\\ L_{\ell}[b,sx+\Delta X,sy+\Delta Y,st+\Delta T,N_{\mathrm{in}}] - B[n_{\mathrm{out}}])$$

**Problem 3:** Images have translation invariance — a person detector must look for people at various places in the image. Translation invariance is the motivation for convolution — all places in the image are treated the same.

Images also have some degree of scale invariance — a person detector must look for people of different sizes (near the camera or far from the camera). We would like to design a deep architecture that treats all scales (sizes) the same just as CNNs treat all places the same.

Consider a batch of input images  $L_{0,d}[b,x,y,n]$  where  $d=2^k$  is the spacial dimension of x and y and n ranges over the three color values red, green, blue. To capture scale invariance will compute a set of layers  $L_{\ell,d}$  with  $0 \le \ell \le \ell_{\max}$ 

and d a power of 2 with  $4 \le d \le d_{\max}$  where  $d_{\max}$  is the spacial dimention of the input images. We set  $d_{\min} = 4$  so as to allow  $3 \times 3$  convolution kernels to be applied to the lowest spacial resolution. The output layer, say for image classification, is  $L_{\ell_{\max},d_{\min}}[b,x,y,n]$ .

We first define  $L_{0,d}[b, x, y, n]$  to be a layer in an "image pyramid" constructed by successively down-sampling the images by a factor 2.

$$L_{0,d/2}[b,x,y,n] = \frac{1}{4} \begin{pmatrix} L_{0,d}[b,2x,2y,n] + L_{0,d}[b,2x+1,2y,n] \\ + L_{0,d}[b,2x,2y+1,n] + L_{0,d}[b,2x+1,2y+1,n] \end{pmatrix}$$

We next define  $L_{\ell,d_{\max}}[b,x,y,n]$  by  $3\times 3$  convolutions that do not change the image dimension.

$$L_{\ell+1,d_{\max}}[b,x,y,n] = \sigma(K_{\ell+1}[n_{\mathrm{out}},\Delta X,\Delta Y,N_{\mathrm{in}}]L_{\ell,d_{\max}}[b,x+\Delta X,y+\Delta Y,N_{\mathrm{in}}] - B_{\ell+1}[n_{\mathrm{out}}])$$

For  $d < d_{\text{max}}$  give an equation for computing  $L_{\ell+1,d}[b,x,y,n_{\text{out}}]$  as the result of a linear threshold neuron taking inputs from both  $L_{\ell,d}[b,x,y,n]$  and  $L_{\ell,2d}[b,x,y,n]$  using the same kernel  $K_{\ell+1}[n_{\text{out}},\Delta x,\Delta Y,n_{\text{in}}]$  for both inputs.

# **Solution**:

$$L_{\ell+1,d}[b, x, y, n] = \sigma \begin{pmatrix} K_{\ell+1}[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}] L_{\ell,d}[b, x + \Delta X, y + \Delta Y, N_{\text{in}}] \\ + K_{\ell+1}[n_{\text{out}}, \Delta X, \Delta Y, N_{\text{in}}] L_{\ell,2d}[b, 2x + \Delta X, 2y + \Delta Y, N_{\text{in}}] \\ - B_{\ell+1}[n_{\text{out}}] \end{pmatrix}$$