TTIC 31230, Fundamentals of Deep Learning David McAllester, Autumn 2020

Rate-Distortion Autoencoders (RDAs)

Cross Entropy for Continuous Structured yCross entropy is a challenging objective for continuous structured values y such as images and sounds.

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{y \sim \operatorname{pop}} - \ln p_{\Phi}(y)$$
$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{\langle x, y \rangle \sim \operatorname{pop}} - \ln p_{\Phi}(y|x)$$

GANs replace the differential cross-entropy loss with an adversarial discrimination loss.

Rate-Distortion Auto-Encoders (RDAs) and Variational Auto-Encoders (VAEs) use the differential cross-entropy loss more directly.

Rate-Distortion Autoencoders (RDAs)

A rate-distortion autoencoder (RDA) replaces differential crossentropy loss with a compression rate and a reconstruction loss (distortion).

The primary example is lossy compression of images and audio.

We first consider the case where the compressed object is discrete — a file with a well defined length in bits.

Rate-Distortion Autoencoders (RDAs)

We compress a continuous signal y to a bit string (or other discrete object) $\tilde{z}_{\Phi}(y)$.

We decompress $\tilde{z}_{\Phi}(y)$ to $y_{\Phi}(\tilde{z}_{\Phi}(y))$.

We can then define a rate-distortion loss.

$$\mathcal{L}(\Phi) = E_{y \sim \text{Pop}} - \ln P_{\Phi}(\tilde{z}_{\Phi}(y)) + \lambda \text{Dist}(y, y_{\Phi}(\tilde{z}_{\Phi}(y)))$$

Here the rate is defined as a discrete cross-entropy.

CNN-based Image Compression

These slides are loosely based on

End-to-End Optimized Image Compression, Balle, Laparra, Simoncelli, ICLR 2017.



5

Rounding a Tensor

Take $z_{\Phi}(y)$ can be a layer in a CNN applied to image y. $z_{\Phi}(y)$ can have with both spatial and feature dimensions.

Take $\tilde{z}_{\Phi}(y)$ to be the result of rounding each component of the continuous tensor $z_{\Phi}(y)$ to the nearest integer.

$$\tilde{z}_{\Phi}(y)[x, y, i] = \lfloor z_{\Phi}(y)[x, y, i] + 1/2 \rfloor$$

Rate-Distortion Autoencoders (RDAs)

Since rounding is not differentiable, at training time we replace rounding by additive noise.

$$\Phi^* = \underset{\Phi}{\operatorname{argmin}} E_{y \sim \operatorname{Train}} E_{\epsilon \sim [-1/2, 1/2]^d} \begin{cases} -\ln p_{\Phi}(z_{\Phi}(y) + \epsilon) \\ + \lambda \operatorname{Dist}(y, y_{\Phi}(z_{\Phi}(y) + \epsilon)) \end{cases}$$

The continuous density $p_{\Phi}(z)$ is parameterized in a way that guarantees

 $p_{\Phi}(z) \approx P_{\Phi}(\tilde{z})$

At test time we use rounding.

Rate: Differential Entropy vs. Discrete Entropy



Each point is a rate for an image measured in both differential entropy and discrete entropy. The size of the rate changes as we change the weight λ .

Distortion: Noise vs. Rounding



Each point is a distortion for an image measured in both a rounding model and a noise model. The size of the distortion changes as we change the weight λ .

JPEG at 4283 bytes or .121 bits per pixel



JPEG, 4283 bytes (0.121 bit/px), PSNR: 24.85 dB/29.23 dB, MS-SSIM: 0.8079

JPEG 2000 at 4004 bytes or .113 bits per pixel



JPEG 2000, 4004 bytes (0.113 bit/px), PSNR: 26.61 dB/33.88 dB, MS-SSIM: 0.8860

Deep Autoencoder at 3986 bytes or .113 bits per pixel



Proposed method, 3986 bytes (0.113 bit/px), PSNR: 27.01 dB/34.16 dB, MS-SSIM: 0.9039

END