

## TTIC 31230 Fundamentals of Deep Learning

### Problems for GANs.

**Problem 1. Conditional GANs** In a conditional GAN we model a conditional distribution  $\text{Pop}(y|x)$  defined by a population distribution on pairs  $\langle x, y \rangle$ . For conditional GANs we consider the probability distribution over triples  $\langle x, y, i \rangle$  defined by

$$\begin{aligned}\tilde{P}_\Phi(i = 1) &= 1/2 \\ \tilde{P}_\Phi(y|x, i = 1) &= \text{pop}(y|x) \\ \tilde{P}_\Phi(y|x, i = -1) &= p_\Phi(y|x)\end{aligned}$$

(a) Write the conditional GAN adversarial objective function for this problem in terms of  $\tilde{P}(x, y, i)$ ,  $P_\Phi(y|x)$  and  $P_\Psi(i|y, x)$ .

**Solution:**

$$\Phi^* = \operatorname{argmax}_\Phi \min_\Psi E_{x, y, i \sim \tilde{P}(x, y, i)} - \ln P_\Psi(i|x, y)$$

### Problem 2. Contrastive GANs.

A GAN can be built with a “contrastive” discriminator. Rather than estimate the probability that  $y$  is from the population, the discriminator must select which of  $y_1, \dots, y_N$  is from the population.

More formally, for  $N \geq 2$  let  $\tilde{P}_\Phi^{(N)}$  be the distribution on tuples  $\langle i, y_1, \dots, y_N \rangle$  defined by drawing one “positive” from  $\text{Pop}$  and  $N - 1$  IID negatives from  $P_\Phi$ ; then inserting the positive at a random position among the negatives; and returning  $(i, y_1, \dots, y_N)$  where  $i$  is the index of the positive.

$$\Phi^* = \operatorname{argmax}_\Phi \min_\Psi E_{(i, y_1, \dots, y_{N+1}) \sim \tilde{P}_\Phi^{(N)}} - \ln p_\Psi(i|y_1, \dots, y_{N+1}) \quad (1)$$

Restate the above definition of  $\tilde{P}_\Phi^{(N)}$  and the GAN adversarial objective for the case of conditional contrastive GANs.

**Solution:**

$$\Phi^* = \operatorname{argmax}_\Phi \min_\Psi E_{(i, y_1, \dots, y_{N+1}, x) \sim \tilde{P}_\Phi^{(N)}} \ln -P_\Psi(i|y_1, \dots, y_{N+1}, x)$$

**Problem 3. Reshaping Noise in GANs.** A GAN generator is typically given a random noise vector  $z \sim \mathcal{N}(0, I)$ . Give equations defining a method for computing  $z'$  from  $z$  such that the distribution on  $z'$  is a mixture of two Gaussians each with a different mean and diagonal covariance matrix. Hint: use a step-function threshold on the first component of  $z$  to compute a binary value and use the other components of  $z$  to define the Gaussian variables.

**Solution:**

$$y = \mathbf{1}[z[0] \geq 0]$$

$$z' = y(\mu_1 + \sigma_1 \odot z[1:d]) + (1 - y)(\mu_2 + \sigma_2 \odot z[1:d])$$

**Problem 4.** This problem is on GAN language modeling. A GAN takes noise as input and transforms it to an output. We consider the case where the output is a string of symbols  $w_1, \dots, w_T$  where for simplicity we always generate a string of exactly length  $T$  and where the words are integers with  $w_t \in \{0, \dots, I-1\}$  where  $I$  is the size of the vocabulary. The GAN parameters are just the parameters of a bigram model, i.e., the parameters are probability tables

$$P[i] = P(w_1 = i)$$

$$Q[i, j] = P(w_{t+1} = j \mid w_t = i)$$

We take the noise input to the GAN to be a sequence of random real numbers  $\epsilon_1, \dots, \epsilon_T$  where each  $\epsilon_t$  is drawn uniformly from the interval  $[0, 1]$ .

(a) Write a function  $\hat{w}(P[I], \epsilon_1)$  which deterministically returns the first word given the noise value  $\epsilon_1$  such that the probability over the draw of  $\epsilon_1$  that  $\hat{w}(P[I], \epsilon_1) = i$  is  $P[i]$ .

**Solution:** We can take  $\hat{w}(P[I], \epsilon_1)$  to be the unique  $i$  such that  $\epsilon_1 \in \left[ \left( \sum_{j < i} P[j] \right), \left( \sum_{j \leq i} P[j] \right) \right]$

(b) Write a function  $\hat{w}(Q[I, I], w_t, \epsilon_t)$  which deterministically returns the word  $w_{t+1}$  given  $w_t$  such that the probability over the draw of  $\epsilon_t$  that  $\hat{w}(Q[I, I], w_t, \epsilon_t) = j$  is  $Q[w_t, j]$ .

**Solution:** We can take  $\hat{w}(Q[I, I], w_t, \epsilon_t)$  to be the unique  $w_j$  such that  $\epsilon_t \in \left[ \left( \sum_{j < i} Q[w_t, j] \right), \left( \sum_{k \leq j} Q[w_t, j] \right) \right]$

(c) There is a problem with this GAN. For string generated by the GAN we need to back-propagate the discriminator loss into the GAN generator parameters.

Explain why this is problematic. Is this always problematic when the generator output is discrete?

**Solution:** Yes, there is a problem whenever  $s$  is discrete. A discrete output will not change under differential updates to the GAN parameters. Hence the gradient of the discriminator loss with respect to the generator parameters is zero. This will happen for any GAN generating a discrete output. While there are approaches one can try for discrete GANs, GANs are most effective for modeling continuous objects like sounds and images. It does not help to have the GAN sample from a transformer model. To get a gradient on the generator parameters we need a gradient of the discriminator loss with respect to a continuous signal  $s$  being generated by the generator.